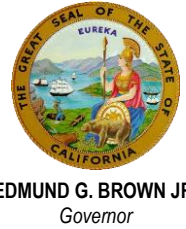




State of California – Health and Human Services Agency
California Department of Public Health
 Center for Health Care Quality
 Licensing and Certification



State Health Facilities Citation Penalties Account (Fund 0942-601)

<u>SPECIAL DEPOSIT FUND</u> <u>0942-601</u>	<u>FUND</u> <u>CONDITION</u> <u>STATEMENT</u>	<u>FUNDING FISCAL</u> <u>YEAR</u>	<u>BUDGET ACT</u> <u>APPROPRIATION *</u>	<u>EXPENDITURES *</u>	<u>BUDGET ACT</u> <u>APPROPRIATION</u> <u>BALANCE *</u>	<u>REVENUES *</u>
STATE HEALTH FACILITIES CITATION PENALTIES ACCOUNT						
<u>STATE CITATION PENALTY</u> <u>ACCOUNTS SUMMARY</u>		SFY 2013-2014**	2,144	0	2,144	609
		SFY 2012-2013	2,141	216	1,925	2,630
		SFY 2011-2012	2,137	828	1,309	3,085

*Figures in thousand dollars

** SFY 2013-2014 reflects actual data from quarter ending September 30, 2013.



State of California – Health and Human Services Agency
California Department of Public Health
Center for Health Care Quality
Licensing and Certification



EDMUND G. BROWN JR.
Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

Fund Condition Statement – PROJECTED
SFY 2013-2014

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SPECIAL DEPOSIT FUND 0942-601

STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT

* Figures in thousand dollars

FUND BEGINNING BALANCE - JULY 1, 2013	10,443
Prior Year Adjustment	<u>0</u>
Adjusted Fund Beginning Balance	<u>10,443</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	2,495
250300 Income from Surplus Money	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,524</u>
Total Resources	<u>12,967</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,189
4265 Department of Public Health (State Operations)	<u>2,144</u>
Total Expenditures and Expenditure Adjustments	<u>3,333</u>
FUND BALANCE, END - JUNE 30, 2014	<u>9,634</u>



State of California – Health and Human Services Agency
California Department of Public Health
Center for Health Care Quality
Licensing and Certification



EDMUND G. BROWN JR.
Governor

State Health Facilities Citation Penalties Account (Fund 0942-601)

**Fund Condition Statement – ACTUAL
SFY 2012-2013**

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SPECIAL DEPOSIT FUND 0942-601

STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT

** Figures in thousand dollars*

FUND BEGINNING BALANCE - JULY 1, 2012	9,902
Prior Year Adjustment (Reversal of revenue accrual)	(685)
Adjusted Fund Beginning Balance	<u>9,217</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	2,601
250300 Income from Surplus Money	<u>29</u>
Total Revenues, Transfers and Other Adjustments	<u>2,630</u>
Total Resources	<u>11,847</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
4170 Department of Aging	1,188
4265 Department of Public Health (State Operations)	<u>216</u>
Total Expenditures and Expenditure Adjustments	<u>1,404</u>
FUND BALANCE, END - JUNE 30, 2013	<u>10,443</u>

State Health Facilities Citation Penalties Account (Fund 0942-601)

Fund Condition Statement – ACTUAL

SFY 2011-2012

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SPECIAL DEPOSIT FUND 0942-601	
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT	
* Figures in thousand dollars	
FUND BEGINNING BALANCE - JULY 1, 2011	10,319
Prior Year Adjustment	<u>-1,487</u>
Adjusted Fund Beginning Balance	<u>8,832</u>
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS	
Revenues:	
217600 Fines and Penalties - External - Private Sector	3,049
250300 Income from Surplus Money	<u>35</u>
Total Revenues, Transfers and Other Adjustments	<u>3,084</u>
Total Resources	<u>11,916</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS	
Expenditures:	
4170 Department of Aging	1,186
4265 Department of Public Health (State Operations)	<u>828</u>
Total Expenditures and Expenditure Adjustments	<u>2,014</u>
FUND BALANCE, END - JUNE 30, 2012	<u>9,902</u>

State Health Facilities Citation Penalties Account (Fund 0942-601)

Expenditures – ACTUAL
SFY 2012-2013 Quarter Ending June 30, 2013
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SPECIAL DEPOSIT FUND 0942-601		
STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT		
* Figures in thousand dollars		
<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES *</u>
HFS CONSULTANTS	GRANITE HILLS CONVALESCENT HOSPITAL	<u>216</u>
	TOTAL	<u>216</u>

* Figures in thousand dollars
* SFY 2012-13 Expenditures reflect actual data from quarter ending June 30, 2013.

State Health Facilities Citation Penalties Account (Fund 0942-601)

Expenditures - ACTUAL SFY 2011-2012

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SPECIAL DEPOSIT FUND 0942-601

STATE HEALTH FACILITIES CITATION PENALTY ACCOUNT

* Figures in thousand dollars

<u>TEMPORARY MANAGER</u>	<u>FACILITY NAME</u>	<u>EXPENDITURES</u> *
HFS CONSULTANTS	GRANITE HILLS HEALTHCARE, INC.	524
BRIUS, LLC	CENTRAL COAST NURSING CENTER	<u>304</u>
	TOTAL	<u>828</u>

* Figures in thousand dollars

* SFY 2011-12 Expenditures reflect actual data from quarter ending June 30, 2012.

State Health Facilities Citation Penalties Account (Fund 0942-601)

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STATE CITATION DETAILED REPORT
 1st Quarter of Fiscal Year 13-14
 Fiscal Years¹ 13-14, 12-13, 11-12 and 10-11

	Fiscal Year 13-14 (7/1/13-9/30/13)			Fiscal Year 12-13			Fiscal Year 11-12			Fiscal Year 10-11		
	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶	Total Amount Assessed	% of \$ Assessed	# of Citations Issued ⁶
TOTAL AMOUNT ASSESSED	\$441,150	100%	88	\$2,115,300	100%	340	\$2,743,750	100%	594	\$3,038,450	100%	611
ALLOWABLE ADJUSTMENTS²	\$42,650	9%	1	\$337,500	16%	5	\$620,628	23%	70	\$664,340	22%	107
Reduced	\$0	0%	0	\$11,000	3%	2	\$187,853	30%	50	\$297,885	43%	96
Dismissed	\$0	0%	0	\$0	0%	0	\$1,000	1%	1	\$33,500	5%	4
35% payment offset	\$41,650	98%	(34)	\$276,500	82%	(221)	\$355,775	57%	(311)	\$329,333	50%	(307)
Uncollectable Other	\$0	0%	0	\$50,000	15%	0	\$58,000	9%	15	\$2,623	1%	6
Withdrawn	\$1,000	2%	1	\$0	0%	3	\$18,000	3%	4	\$1,000	1%	1
Reverse offset payment	\$0	0%	0	\$0	0%	0	\$0	0%	0	\$0	0%	(2)
NET AMOUNT SUBJECT TO COLLECTION³	\$398,500	90%		\$1,777,800	84%		\$2,123,123	77%		\$2,374,110	78%	
AMOUNT COLLECTED TO DATE⁴	\$86,050	20%	43	\$663,850	31%	284	\$1,463,523	53%	443	\$1,457,310	48%	412
65% payment	\$77,350	88%	34	\$513,500	77%	221	\$660,725	45%	311	\$611,618	42%	307
Full payment	\$8,700	10%	9	\$131,275	18%	63	\$265,400	18%	132	\$452,000	31%	105
Installment payment	\$0	0%	0	\$0	0%	0	\$55,000	4%	(2)	\$5,628	1%	(18)
Continuing Penalty payment	\$0	0%	0	\$9,750	1%	(13)	\$44,100	3%	(23)	\$32,697	3%	(9)
Medi-Cal Offset payment	\$0	0%	0	\$0	0%	0	\$15,300	1%	(6)	\$3,450	1%	(4)
Other payment	\$650	1%	(1)	\$325	1%	(1)	\$7,700	1%	(9)	\$326,120	19%	(5)
Settlement payment	\$0	0%	0	\$11,000	2%	(4)	\$419,898	27%	(51)	\$42,520	2%	(97)
Reverse payment	-\$650	1%	(1)	-\$2,000	1%	(1)	-\$4,600	1%	(7)	-\$16,722	1%	(6)
PENDING RESOLUTION⁵	\$312,450	71%	44	\$1,113,950	53%	51	\$659,600	24%	81	\$916,800	30%	92
Litigation												
Citation Review Conference	\$0	0%	0	\$0	0%	0	\$91,650	14%	24	\$283,200	31%	67
Administrative Law Judge	\$11,900	4%	10	\$24,000	2%	20	\$47,400	7%	36	\$8,750	1%	9
Superior/Municipal Court	\$196,550	63%	5	\$1,089,350	97%	30	\$440,300	67%	12	\$624,850	68%	16
Binding Arbitration	\$38,000	12%	19	\$0	0%	0	\$0	0%	0	\$0	0%	0
Collection in Process	\$66,000	21%	10	\$600	1%	1	\$80,250	12%	9	\$0	0%	0

Footnotes:

- Fiscal Year dates refer to the date the citation was issued.
- Allowable Adjustments include reduction amount of citations paid @ 65% per HSC Sections 1428.1, and 1424.5(b). Citations paid within 15 days (30 days for skilled nursing or intermediate care facilities) are reduced by 35%. Amount reduced or dismissed in appeals and/or settlements, uncollectable due to bankruptcy (discharged), change of ownership, facility closure or withdrawn by the Department.
- Net Amount Subject to Collection reflects the collectable amount after allowable adjustments.
- Amount Collected to Date - Reflects collections that result in a closed citation.
- Pending Resolution includes citations in litigation/appealed to a citation review conference (appeal option available for citations issued prior to 1/1/12), administrative law judge, binding arbitration or court. Collection in Process includes active payment demands, Medi-Cal offset, settlement money pending
- Citation numbers reflected in parenthesis are non-add figures.